

MARK L. SCHWANBECK

Mark L Schwanbeck is a consultant and author of personal finance books and articles. He works closely with investment management firms, 401(k) plan administrators, financial planners, and tax advisors to create corporate pension plans. His latest book, *Your 401(k) Handbook: 2003 Employees' Guide to Investments and Decisions* (June 2003), answers the question asked by so many employees with 401(k) plans: "What should I do with my 401(k) Plan?"

In January 1998, Mr. Schwanbeck joined Strategic Investment Partners, a Washington, D.C. organization that offers investment services to large, institutional investors and high net worth individuals. As one of the firm's investment officers, he works with clients to define investment objectives, risk tolerance, and investment policy; develop and implement strategies for individual asset classes; select and monitor sub-managers; explain performance and other analytic measures; and educate members of the clients' investment committees on key strategic and tactical investment issues.

Before joining SIP, Mr. Schwanbeck was vice president and co-head of marketing strategy and communications for J.P. Morgan Investment Management, where he was responsible for the firm's public relations, advertising, RFPs, website development, and mutual fund telephone operations. He joined the firm in 1996.

In 1991, Mark L. Schwanbeck was named assistant treasurer for The Times Mirror Company, where supervised the company's \$2 billion retirement plans investments. His duties included allocating assets among investment managers, supervising the plans' trustees, developing new investment options and reporting results to the Times Mirror retirement plans committee and finance committee of the board of directors. Mr. Schwanbeck joined Times Mirror in 1984 to help establish the company's 401(k) plan, which subsequently grew to more than a \$1 billion when he left the firm. Mr. Schwanbeck authored all the education materials and developed a comprehensive series for employee seminars.

From 1979 to 1984, Mr. Schwanbeck was manager of investor relations for Carter Hawley Hale Stores. Prior to joining Carter Hawley Hale, he was news bureau manager and science writer for Beckman Instruments, Inc.

In 1996, Mr. Schwanbeck's first book, *Your 401(k) Plan--How and Where to Invest*, was published by Irwin Professional Publishing. The book was written for participants in 401(k) plans to help them make their investment decisions and learn how to save for retirement using company-sponsored savings plans. He also published "Back to the Future? Outsourcing Pension Fund Investment Management," a chapter within *Innovations for the Millennium, Creative Solutions for Pension Management* (Investors Press, August 1995).

In 1974, Mr. Schwanbeck graduated from the University of California, Irvine, with a degree in biological sciences and earned his masters degree in business administration at the University of Southern California in 1979.

He is married and resides with his wife, Judy, in Arlington, VA.

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